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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) de Groot, et al.

Examiner: Unassigned

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For: PNEUMOCOCCAL  
VACCINES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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Signed: Julie L. Watts

TECH CENTER 1600/2900

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R.

§1.56, Applicants submit herewith the following Information Disclosure Statement in  
accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

**FOREIGN PATENT DOCUMENTS**

<b><u>COUNTRY</u></b>	<b><u>PUBLICATION NO.</u></b>	<b><u>PUBLICATION DATE</u></b>
PCT	WO 00/06737	February 10, 2000
PCT	WO 98/18930	May 7, 1998
PCT	WO 97/37026	October 9, 1997

### NON-PATENT PUBLICATIONS

1. Jansen, W.T.M., et al., "Use of Highly Encapsulated *Streptococcus pneumoniae* Strains in a Flow-Cytometric Assay for Assessment of the Phagocytic Capacity of Serotype-Specific Antibodies", *Clinical and Diagnostic Laboratory Immunology* Sept. 1998, 5(5):703-710.
2. McDaniel, Larry S., et al., "Comparison of the PspA Sequence from *Streptococcus pneumoniae* EF5668 to the Previously Identified PspA Sequence from Strain Rx1 and Ability of PspA from EF5668 to Elicit Protection against Pneumococci of Different Capsular Types", *Infection and Immunity* Oct. 1998, 66(10):4748-4754.
3. Overweg, K., et al., "The Putative Proteinase Maturation Protein A of *Streptococcus pneumoniae* is a Conserved Surface Protein with Potential to Elicit Protective Immune Responses", *Infection and Immunity* 2000, 68(7):4180-4188.

The above-referenced documents are listed on PTO Form 1449. We have enclosed the cited documents to facilitate reference to them.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' agent at the telephone number set forth below.

Respectfully submitted,



Edna I. Gergel, Ph.D.  
Registration No.: 50,819  
Agent for Applicant(s)

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(516) 822-3550  
EIG:jlw  
175041\_1